12-05-0

PTO/SB/05 (03-01)

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## UTILITY PATENT APPLICATION TRANSMITTAL

USB-419 Attomey Docket No. LLOYD, Jeffrey D. First Inventor Wood Preservative Concentrate

(Only for new nonprovisional applications under 37 CFR 1.53(b))

ET 009148074 US Express Mail Label No.

APPLICATION ELEMENTS  See MPEP chapter 600 concerning utility patent application contents.  ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington. DC 20231  7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)  8. Nucleotide and/or Annino Acid Sequence Submission (if applicable, all necessary)  9. Sepcification (performed strangement set first setu)  1. Oscipitive filts of the invention  1. Cross Reference to Related Applications  1. Computer Readable Form (CRF)  1. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)  8. Nucleotide and/or Annino Acid Sequence Submission (if applicable, all necessary)  1. Computer Readable Form (CRF)  1. CD-ROM or CD-R (2 copies): or (ii) paper or a computer program listing appendix  1. CD-ROM or CD-R (2 copies): or (ii) paper or a computer program listing appendix  1. CD-ROM or CD-R (2 copies): or (ii) paper or a computer program listing appendix  1. CD-ROM or CD-R (2 copies): or (ii) paper or a computer program listing appendix  2. Application of the Disclosure  3. Specification Sequence Using on: (CD-ROM or CD-R (2 copies): or (ii) paper or a computer program listing appendix  2. Application of the Disclosure  3. Specification Sequence Using on: (CD-ROM or CD-R (2 copies): or (ii) paper or (iii) paper or (iii) paper or a computer program listing appendix  4. Drawing(s) (35 U.S.C. 113) [Total Sheets ] ]  5. Oath or Declaration [Total Pages ] ]  6. Oath or Declaration [Total Pages ] ]  6. Determine (iii)							
1. X   See Transmittal Form (e.g., PTO/SBI17) 2. See 37 CFR 1.27 3. X   Specification	APPLICA	TION ELEMENTS	ADDDECC TO.				
Computer Program (Appendix)	See MPEP chapter 600 concerning utility patent application contents.  Washington, DC 20231						
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3. X Specification   Cross Reference to Related Applications			8. Nucleotide and/or Amino Acid Sequence Submission				
- Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Summary of the Invention - Brief Description of the Orawings (if filed) - Detailed Description - Claim(s) - Claim(s) - Abstract of the Disclosure  4.			Computer Bredelie Form (CBD)				
- Statement Regarding Fed sponsored R & D - Reference to sequence listing appendix - Background of the Invention - Brief Summary of the Invention - Continuation - Continuation Double of Statement (Invention) - Brief Summary Amendment - Invention of Invention of Statement (Invention) - Invention of Invention of Statement (Invention of Invention) - Invention of Inve			b. Specification Sequence Listing on:				
- Reference to sequence (isting, a table, or a computer program listing appendix - Background of the Invention - Brief Description of the Drawings (if filed) - Detailed Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure - Reference - Detailed Description - Claim(s) - Abstract of the Disclosure - Abstract of the Disclosure - Detailed Description - Claim(s) - Abstract of the Disclosure - Detailed Description - Claim(s) - Abstract of the Disclosure - Detailed Description - Claim(s) - Abstract of the Disclosure - Detailed Description - Claim(s) - Abstract of the Disclosure - Detailed Description - Claim(s) - Abstract of the Disclosure - Detailed Description - Description - Detailed Description - Descriptio	- Cross Reference - Statement Reg	arding Fed sponsored R & D	i. CD-ROM or CD-R (2 copies); or				
Background of the Invention Brief Summary of the Invention Brief Description of the Drawings (if filed) Detailed Description Claim(s) Abstract of the Disclosure    Drawing(s) (35 U.S.C. 113)	- Reference to se	equence listing, a table,	ii. 🗍 paper				
- Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure  4.							
Detailed Description - Claim(s) - Abstract of the Disclosure  4.			ACCOMPANYING APPLICATION PARTS				
- Claim(s) - Abstract of the Disclosure  4.							
At Drawing(s) (35 U.S.C. 113) [ Total Sheets ] 11. English Translation Document (if applicable) 11. English Translation Document (if applicable) 12. English Translation Document (if applicable) 12. Information Disclosure Statement (IDS)/PTO-1449 Citations 13. Preliminary Amendment 13. Preliminary Amendment 13. Preliminary Amendment 14. Kanding Preliminary Amendment 15. Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed) 14. Kanding Preliminary Amendment 14. Kanding Preliminary Amendment 15. Captified Copy of Priority Document(s) (Should be specifically itemized) 15. Captified Copy of Priority Document(s) (Should be specifically itemized) 15. Captified Copy of Priority Document(s) (if foreign priority is claimed) 16. Nonpublication Review 16. Captified Copy of Priority Document(s) (if foreign priority is claimed) 16. Nonpublication Review 16. Captified Copy of Priority Document(s) (if foreign priority is claimed) 16. Nonpublication Review 17. Captified Copy of Priority Document(s) (if foreign priority is claimed) 16. Nonpublication Review 18. If a CONTINULING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or it is equivalent.  18. If a CONTINULING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or it is equivalent.  19. Continuation Data Sheet under 37 CFR 1.76:  10. Continuation Data Sheet under 37 CFR 1.76:  11. Continuation Data Sheet under 37 CFR 1.76:  12. Continuation Data Sheet under 37 CFR 1.76:  13. Preliminary Amendment 18. Return Receipt Priority Data Number of the accompanying continuation or divisional application nation and in a preliminary amendment, or its equivalent.  12. Continuation Data Sheet under 37 CFR 1.76:  13. Continuation Data Sheet under 35 U.S.C. 122 Continuation or divisional application nation and in the prior application parts.  14. Continuation Data Sheet under 37 CFR 1.76:  15. Continuation Data Sheet under		Disclosure	37 CFR 3.73(b) Statement Power of				
5. Oath or Declaration  a. Newly executed (original or copy)  b. Copy from a prior application (37 CFR 1.63 (d))  b. Copy from a prior application (37 CFR 1.63 (d))  c. DELETION OF INVENTOR(S)  signed statement attached deleting inventor(s)  named in the prior application, see 37 CFR  1.83(d)(2) and 1.33(b).  6. Application Data Sheet. See 37 CFR 1.76  18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  Continuation  Divisional  Continuation  Divisional  Divisional  Divisional  Continuation or the disclosure of the prior application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS  Citations  Information Disclosure  Copy from priority Disclaring (MPEP 503)  (Should be specifically iternized)  14. X. Return Receipt Postcard (MPEP 503)  (Should be specifically iternized)  15. Certified Copy of Priority Document(s)  (if foreign priority is claimed)  16. Nonpublication Request under 35 U.S. C. 122  (b)(2)(B)(B)(D). Applicant must attach form PTO/S2/35  or its equivalent.  17. Other:  18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or its equivalent.  For continuation  Division Data Sheet under 37 CFR 1.76:  Continuation  Continuation  Division Data Sheet under 37 CFR 1.76:  Continuation  Continuation  Division Data Sheet under 37 CFR 1.76:  Continuation  Other:  6. Nonpublication Request under 35 U.S. C. 122  (b)(2)(B)(B)(B)(D). Applicant must attach demended in a preliminary amendment, or its equivalent.  17. Other:  6. Nonpublication Request under 35 U.S. C. 122  (b)(2)(B)(B)(B)(B). Applicant must attach demended in a preliminary amendment, or its equivalent.  18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below an			(when there is an assignee) — Allottiey				
a. Newly executed (original or copy) b. Copy from a prior application (37 CFR 1.63 (d)) c. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).  6. Application Data Sheet. See 37 CFR 1.76  18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation Data Sheet under 37 CFR 1.76: Contractinuation Data Sheet under 37 CFR 1.76: Continuation Data Sheet under 37 CFR 1			Information Disclosure Copies of IDS				
b. Copy from a prior application (37 CFR 1.63 (d))  b. Copy from a prior application (37 CFR 1.63 (d))  i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).  6. Application Data Sheet. See 37 CFR 1.76  18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76  18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76  19. Continuation Data Sheet under 37 CFR 1.76  19. Continuation information: Examiner Group An Unit: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under 80x 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS  19. Correspondence address below  Rurt R. Ganderup  Address  26877 Tourney Road  City  Valencia  State  CA  Zip Code 91355  Country  U.S.A.  Telephone (661) 287–606\$  Fax (661)287–601		• • —— •	Statement (IDS)/P10-1449 — Citations				
i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.83(d)(2) and 1.33(b).  6. Application Data Sheet. See 37 CFR 1.76  18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  Continuation information:  Examiner  For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS  Customer Number or Bar Code Label  State CA Zip Code 91355  Country Valencia State CA Zip Code 91355  Country U.S.A. Telephone (661) 287-6068 Fax (661) 287-601  Name (Print/Type) Kurt R. Ganderup  Registration No. (Attorney/Agent) 43,625	Copy from a prior application (37 CFR 1.63 (d))  Return Receipt Postcard (MPEP 503)						
16.   Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i), Applicant must attach form PTO/SB/35 or its equivalent.   17.   Other:     18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:   Other:   Oth	, ior commission	. ,	(Should be specifically iterflized)				
1.63(d)(2) and 1.33(b).  6. Application Data Sheet. See 37 CFR 1.76  18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  Continuation Divisional Continuation-part (CIP) Prior application information: Examiner Group An Unit: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted method application parts.  19. CORRESPONDENCE ADDRESS  Customer Number or Bar Code Label  Customer Number or Bar Code Label  Address  26877 Tourney Road  City Valencia State CA Zip Code 91355  Country U.S.A. Telephone (661) 287-606\$ Fax (661)287-601	digited statement attached deleting inventor(s)						
6. Application Data Sheet. See 37 CFR 1.76  17. Other:  18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  Continuation Divisional Continuation-in-part (CIP) of prior application No::  Prior application information:  Examiner Group An Unit:  For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS  Customer Number or Bar Code Label  Illustricustomer No. crystage No. cr	1.63(d)(2) and 1.33(b). (b)(2)(B)(i). Applicant must attach form PTO/SB/35						
or in an Application Data Sheet under 37 CFR 1.76:  Continuation Divisional Continuation-in-part (CIP) of prior application No:  Prior application information:  Examiner Group An Unit:  For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 55, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS  Customer Number or Bar Code Label  (Insert Customer, No or Attach per code label here):  Or X Correspondence address below  Name  Kurt R. Ganderup  Address  26877 Tourney Road  City Valencia State CA Zip Code 91355  Country U.S.A. Telephone (661) 287–6068 Fax (661)287–601  Name (Print/Type) Kurt R. Ganderup Registration No. (Attorney/Agent) 43,625	6 Appliestian Data Shoot See 27 CER 1.76						
Continuation Divisional Continuation-in-part (CIP) of prior application No.: /  Prior application information: Examiner Group Art Unit:  For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS  Customer Number or Bar Code Label  Address  Customer Number or Bar Code Label  Filipser: Customer No. a Altern Der code label here): or X Correspondence address below  Name  Kurt R. Ganderup  Address  26877 Tourney Road  City Valencia State CA Zip Code 91355  Country U.S.A. Telephone (661) 287-6068 Fax (661) 287-601  Name (Print/Type) Kurt R. Ganderup Registration No. (Attorney/Agent) 43,625			pply the requisite information below and in a preliminary amendment,				
Prior application information: Examiner Group An Unit:  For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS  Customer Number or Bar Code Label  If this end customer No or Altary ber orde label berel:  Or X Correspondence address below  Name  Kurt R. Ganderup  Address  26877 Tourney Road  City Valencia State CA Zip Code 91355  Country  U.S.A. Telephone (661) 287-6068 Fax (661) 287-601  Name (Print/Type) Kurt R. Ganderup  Registration No. (Attorney/Agent) 43,625			of arian unalization No.				
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Address         26877 Tourney Road           City         Valencia         State         CA         Zip Code         91355           Country         U.S.A.         Telephone         (661) 287-606\$         Fax         (661) 287-601           Name (Print/Type)         Kurt R. Ganderup         Registration No. (Attorney/Agent)         43,625	Customer Number or Bar C	ode Label	or X Correspondence address below				
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	Country	U.S.A. Te	lephone (661) 287-6068 Fax (661)287-601				
	Name (Print/Type)	Kurt R. Ganderup	Registration No. (Attorney/Agent) 43,625				
	Signature		Date Nov. 14, 2001				

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT** 

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( <b>C</b> )	0.1
141	

Complete if Known			
Application Number			
Filing Date			
First Named Inventor	LLOYD, Jeffrey D.		
Examiner Name			
Group Art Unit			
Attorney Docket No.	USB-419		

METHOD OF PAYMENT	FEE CALCULATION (continued)					
The Commissioner is hereby authorized to charge indicated fees and credit any overnayments to:	3. ADDITIONAL FEES					
indicated fees and credit any overpayments to:  Deposit	Large Small					
Account Number 21-0400	Entity Entity Fee Fee Fee Fee Fee Description	Fee Paid				
Deposit	Fee Description  Code (\$) Code (\$)	ree raiu				
Account Name U.S. Borax Inc.	105 130 205 65 Surcharge - late filing fee or oath					
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127 50 227 25 Surcharge - late provisional filing fee or cover sheet					
Applicant claims small entity status.	139 130 139 130 Non-English specification					
See 37 CFR 1.27	147 2,520 147 2,520 For filing a request for ex parte reexamination					
2. Payment Enclosed:	112 920* 112 920* Requesting publication of SIR prior to	1				
Check Credit card Order Other	Examiner action  113 1,840* 113 1,840* Requesting publication of SIR after					
FEE CALCULATION	Examiner action					
1. BASIC FILING FEE	115 110 215 55 Extension for reply within first month					
Large Entity Small Entity	116 400 216 200 Extension for reply within second month					
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	117 920 217 460 Extension for reply within third month					
101 740 201 370 Utility filing fee 740	118 1,440 218 720 Extension for reply within fourth month					
106 330 206 165 Design filing fee	128 1,960 228 980 Extension for reply within fifth month					
107 510 207 255 Plant filing fee	119 320 219 160 Notice of Appeal	-				
108 740 208 370 Reissue filing fee	120 320 220 160 Filing a brief in support of an appeal					
114 160 214 80 Provisional filing fee	121 280 221 140 Request for oral hearing					
SUBTOTAL (1) (\$) 740	138 1,510 138 1,510 Petition to institute a public use proceeding					
	140 110 240 55 Petition to revive - unavoidable					
2. EXTRA CLAIM FEES	141 1,280 241 640 Petition to revive - unintentional					
Extra Claims below Fee Paid	142 1,280 242 640 Utility issue fee (or reissue)					
Total Claims	143 460 243 230 Design issue fee					
Claims Z -	144 620 244 310 Plant issue fee					
Multiple Dependent = 0	122 130 122 130 Petitions to the Commissioner					
Large Estitu G. II E. III	123 50 123 50 Processing fee under 37 CFR 1.17(q)					
Large Entity Small Entity Fee Fee Fee Fee Description	126 180 126 180 Submission of Information Disclosure Stmt					
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	581 40 581 40 Recording each patent assignment per property (times number of properties)					
102 84 202 42 Independent claims in excess of 3	146 740 246 370 Filing a submission after final rejection (37 CFR § 1.129(a))					
104 280 204 140 Multiple dependent claim, if not paid  109 84 209 42 ** Reissue independent claims over original patent	149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b))					
110 18 210 9 •• Reissue claims in excess of 20	179 740 279 370 Request for Continued Examination (RCE)					
and over original patent	169 900 169 900 Request for expedited examination					
SUBTOTAL (2) (\$) 72	of a design application  Other fee (specify)					
555.5172(2)						
**or number previously paid, if greater, For Reissues, see above Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)						

SUBMITTED BY Complete (if applicable)						
Name (Print/Type)	Kurt R. Ganderup	Registration No. (Attorney/Agent)	43,625	Telephone	(661)	287-6068
Signature	Kurt R Handomp		Date	Nov.	14, 2001	

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Jeffrey D. LLOYD and Jennifer L. FOGEL

Serial No.: 0 /

Group No.:

Filed:

Examiner:

For:

WOOD PRESERVATIVE CONCENTRATE

Assistant Commissioner for Patents Washington, D.C. 20231

#### **EXPRESS MAIL CERTIFICATE**

Express Mail" label number \_\_\_ET 009148074 US

Date of Deposit \_\_\_\_November 14, 2001

I hereby certify that the following attached paper or fee

Fee Transmittal Utility Patent Application Transmittal Utility Patent Specification

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10, on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Kurt R. Ganderup

Typed or printed name of person mailing paper or fee

Signature of person mailing paper or ree

Kust R Hander

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NOTE: The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail." Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings it is suggested that the label number be placed on the back of each formal drawing or the drawings be accompanied by a set of informal drawings on which the label number is placed.